

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING (RULE 60) APPLICATION
For Design or Utility Applications

69652 U.S. PTO

08/820374



03/12/97

Rule 1.60 PATENT APPLICATION

11730 U.S. PTO



03/12/97

☐ Continuation)

☒ Divisional)

DEPOSIT ACCOUNT NO. 19-0124

ATTY. DOCKET NO.: 647-2

GROUP ART UNIT: 2503

EXAMINER: Kelley, N.

of pending prior application of

Inventor(s): HWANG, Cheol-Sung

DATE: March 12, 1997

Parent Appln. Serial No.: 08/560,087

Parent Appln. Filed: November 17, 1995

Title: METHOD FOR FORMING LOWER ELECTRODE OF CAPACITOR

Hon. Assistant Commissioner

of Patents

Washington, D.C. 20037

Sir:

To effect the above-requested filing today:

1. Attached is a true copy of the prior application as originally filed, including:

☒ Abstract;

☒ Specification and claim(s) (unamended clean copy) as originally filed
(14 pages);

☒ Signed declaration or oath as originally filed in prior application;

☒ Drawings: 4 sheet(s)/set: ☐ 1 set informal; ☒ 1 set formal of size ☒ A4;

NOTE: No amendments (if any) referred to in the Oath/Declaration filed to complete the prior application introduced new matter.

2. ☐ This Rule 1.60 application is hereby filed by less than all of the inventors named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are not inventor(s) of the invention being claimed in this Rule 1.60 application:

1.)

2.)

3.)

4.)

3. ☐ Transfer the drawing from the prior application to this application and abandon said prior application as of the filing date accorded this application. A third copy of this letter is attached for filing in the prior application file.

4. ☒ Priority is claimed under 35 U.S.C. 119/365 based on filing in the Rep. of Korea of:

| | Application No. | Filing Date |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| 1.) | 95-13694 | 29/MAY/1995 |
| 2.) | | |
| 3.) | | |
| a. | <input type="checkbox"/> _____ (No.) Certified copy/copies attached. | |
| b. | <input checked="" type="checkbox"/> Certified copy/copies previously filed on November 17, 1995 in U.S. Application No. 08/560,087, filed on November 17, 1995. | |
| c. | <input type="checkbox"/> Certified copy/copies filed during International stage of PCT/_____. | |
| d. | <input type="checkbox"/> Priority is also claimed from PCT/_____ filed _____. | |

5. ☒ Prior application is assigned to: Samsung Electronics Co., Ltd. 416 Maetan-Dong, Paldal-Gu, Suwon-City, Kyungki-Do, Rep. Of Korea, by Assignment recorded on November 17, 1995 at Reel 7780, Frame -164.

6. ☐ Attached is an Assignment to Samsung Electronics Co., Ltd., 416 Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do, Rep. of Korea.

Please return recorded Assignment to the address in item 8 below.

7. ☒ The Power of Attorney in the prior application is to:

| | | |
|-----|-----------------------|-----------------|
| 1.) | Charles R. Donohoe | Reg. No. 24,546 |
| 2.) | Neil A. Steinberg | Reg. No. 34,735 |
| 3.) | William L. Geary, Jr. | Reg. No. 35,879 |
| 4.) | Brian C. Altmiller | Reg. No. 37,271 |
| 5.) | Allen LeRoy Limberg | Reg. No. 27,211 |

whose address is indicated in item 8 below.

- a. ☒ The power appears in the original papers of the prior application.
b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is attached.
c. ☐ Recognize as associate attorney:

1.)
2.)
3.)

8. Address all future communications to Samsung Electronics Co., Ltd., 1200 New Hampshire Avenue, N.W., Suite 550, Washington, D.C. 20036, Tel.: (202) 296-0227.

9. ☒ Amend the specification by inserting before the first line the sentence:
--This is a ☐ continuation; ☒ divisional of Application Serial No. 08/560,087,
filed November 17, 1995.--
10. ☒ Petition to extend the life of the above prior application to at least the date hereof
☐ is being concurrently filed in that prior application.
☐ was previously filed in that prior application.
☒ is not necessary for copendency.
11. ☐ The Examiner's attention is directed to both the second paragraph of guideline (2) in MPEP 609 and to the last paragraph of MPEP 2001.06(b) and to the submission in the prior application of the Information Disclosure Statement and document copies filed on _____.
12. ☐ Attached is a Rule 103 Petition to Suspend Action.
13. ☒ **PRELIMINARY AMENDMENT to be entered before fee calculation:** (Do not make amendments here except for correction of improper multiple dependencies or cancellation of whole claims or multiple dependencies for purposes of reducing the filing fee per MPEP §§ 506 and 607; do not cancel all claims).

Please cancel claims 1-24 without prejudice. (See attached Preliminary Amendment)

FILING FEE
THE FOLLOWING FILING FEE IS BASED ON
CLAIMS AS FILED AND CHANGED BY PRELIMINARY AMENDMENT IN ITEM 13

14. Basic filing fee for Utility Application, \$770.00 \$770.00 (PTO Code 101)
15. Total Effective Claims [4] minus 20 = ["0"] x \$22 = \$ (PTO Code 103)
16. Independent Claims [1] minus 3 = ["0"] x \$80 = \$ (PTO Code 102)
17. If any **proper** multiple claim remains, add \$260.00 \$ (PTO Code 104)
18. If "petition" box 12 above is X'd, add petition fee of \$130.00 \$ (PTO Code 122)
19. **TOTAL FILING FEE DUE: \$770.00**
20. [] Attached:
21. [XX] Preliminary Amendment attached (to be entered after assigning Appln. No.)
22. [] The Following PRELIMINARY AMENDMENT is to be entered after assigning Application Number.

**ADDITIONAL FEE CALCULATION FOR
PRELIMINARY AMENDMENT
PER BOXES 21 & 22**

| | Claims remaining after amendment | Highest number previously paid for | Present Extra | | Additional Fee |
|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|---------------------------------------------|------------------|---------------------------------|-------------------|
| 23. Total Effective Claims: | 4 | (-) 20 | = | x \$22.00 | \$ (PTO Code 103) |
| 24. Independent Claims | 1 | (-) 3 | = | x \$80.00 | \$ (PTO Code 102) |
| 25. If amendment enters proper multiple dependent claim(s) into this application for <u>first time</u> , add \$260.00 | | | | \$ | (PTO Code 204) |
| 26. | | | | Subtotal | \$ |
| 27. | | | | Additional Fee | \$ |
| 28. | | | | plus FEE from item 19 on page 3 | \$770.00 |
| 29. | | | | TOTAL FEE DUE | \$770.00 |

CHARGE STATEMENT: The Commissioner and Assistant Commissioner of Patents is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment to our Deposit Account/Order Nos. 19-0124, also shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. THIS CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Samsung Electronics Co., Ltd.
1200 New Hampshire Avenue, N.W.
Suite 550
Washington, D.C. 20036
Tel: (202) 296-0227
Fax: (202) 331-7961
ATTY./SEC.: BCA/TLC

By Atty.: Brian C. Altmiller

Reg. No. 37,271

Sig.: 

Tel: (202) 887-5668

SEC-025

10/96